

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P21592

Total Pages

Inventor(s) or Application Identifier
Tetsuaki KATO

Title:AN ULTRASONIC-MOTOR CONTROL SYSTEM

20/03/01
U.S. PTO

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

| APPLICATION ELEMENTS | | ACCOMPANYING APPLICATION PARTS | |
|---|--|---|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing) | | 9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | |
| 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <ul style="list-style-type: none"> a. <input type="checkbox"/> Small Entity Statement(s) | | a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies | |
| 3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) [Total Pages <u>38</u>] <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure | | 10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>12</u>] | | 11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney | |
| 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) <u>[Note Box 6 below]</u> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | | 12. <input type="checkbox"/> English Translation Document (if applicable) | |
| 6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | 13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations | |
| 7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | | 14. <input type="checkbox"/> Preliminary Amendment | |
| 8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix) | | 15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | |
| 20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) <i>Prior application information: Examiner: _____</i> | | 16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>4B</u> 17. <input checked="" type="checkbox"/> Foreign priority claimed <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) | |
| | | 18. <input checked="" type="checkbox"/> Assignee: ASAHI KOGAKU KOGYO KABUSHIKI KAISHA of Tokyo, JAPAN | |
| | | 19. <input type="checkbox"/> Other: _____ _____ _____ _____ | |
| 21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____ / _____, filed _____. | | | |

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
 1941 Roland Clarke Place
 Reston, VA 20191
 (703) 716-1191

1/3/02
Date

 Bruce H. Bernstein, Reg No. 29,027
 Typed or Printed Name


07055

PATENT TRADEMARK OFFICE

FEE TRANSMITTAL

| | | | | Complete if Known | | | | | |
|--|--|--------------------|--|-----------------------------|-----------------|-----------------------|-----------------|--|----------|
| | | | | Application Number | | Not Yet Assigned | | | |
| | | | | Filing Date | | Concurrently Herewith | | | |
| | | | | First Named Inventor | | T. KATO | | | |
| | | | | Group Art Unit | | Unassigned | | | |
| | | | | Examiner Name | | Unassigned | | | |
| TOTAL AMOUNT OF PAYMENT | | (\$) <u>780.00</u> | | Attorney Docket Number | | P21592 | | | |
| METHOD OF PAYMENT (check one) | | | | FEE CALCULATION (continued) | | | | | |
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | | | | 3. ADDITIONAL FEES | | | | | |
| Deposit Account Number <u>19-0089</u> | | | | Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
| Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u> | | | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) | | | | 139 | 130 | 139 | 130 | Non-English specification | |
| | | | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| | | | | 112 | 920* | 112 | 920* | Requesting publication of SIR Prior to Examiner action | |
| | | | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| | | | | 115 | 110 | 215 | 55 | Extension for response within 1st month | |
| | | | | 116 | 400 | 216 | 200 | Extension for response within 2nd month | |
| 2. <input checked="" type="checkbox"/> Payment Enclosed: | | | | 117 | 920 | 217 | 460 | Extension for response within 3rd month | |
| <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | | | 118 | 1,440 | 218 | 720 | Extension for response within 4th month | |
| | | | | 128 | 1,960 | 228 | 980 | Extension for response within 5th month | |
| | | | | 119 | 320 | 219 | 160 | Notice of Appeal | |
| | | | | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| | | | | 121 | 280 | 221 | 140 | Request for oral hearing | |
| | | | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| | | | | 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application | |
| | | | | 141 | 1,280 | 241 | 640 | Petition to revive unintentionally abandoned application | |
| | | | | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| | | | | 143 | 460 | 243 | 230 | Design issue fee | |
| | | | | 144 | 620 | 244 | 310 | Plant issue fee | |
| | | | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 3. SUBTOTAL (1) (\$) <u>740</u> | | | | 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 4. CLAIMS | | | | 126 | 180 | 126 | 180 | Submission of IDS | |
| Total Claims <u>18</u> -20= <u>0</u> x <u>18</u> = <u>0</u> | | | | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| Independent <u>1</u> -3= <u>0</u> x <u>84</u> = <u>0</u> | | | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| Claims | | | | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Multiple Dependent Claims <u>0</u> x <u>280</u> = <u>0</u> | | | | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| | | | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| 5. SUBTOTAL (2) (\$) <u>0</u> | | | | Other fee (specify) _____ | | | | | |
| | | | | Other fee (specify) _____ | | | | | |
| | | | | | | | | SUBTOTAL (3) (\$) <u>40</u> | |
| *Reduced by Basic Filing Fee paid | | | | | | | | | |

SUBMITTED BY

| | | | | Complete (if applicable) | |
|-----------------------|--|--------------------------------|--|--------------------------|--------|
| Typed or Printed Name | | Signature | | Reg. Number | 29,027 |
| Bruce H. Bernstein | | <i>Leslie J. Pynnar May 16</i> | | Reg. Number | 29,027 |
| Signature | | <i>Leslie J. Pynnar May 16</i> | | Date | 1/3/02 |
| | | | | Deposit Account User ID | |